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Applied Soft Computing

journal homepage: [www.elsevier.com/locate/asoc](http://www.elsevier.com/locate/asoc)



## Adaptive memory-based local search for MAX-SAT

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### ARTICLE INFO

#### Article history:

Received 29 September 2010  
Received in revised form 26 April 2011  
Accepted 22 January 2012  
Available online xxx

#### Keywords:

Local search  
Tabu Search  
SAT and MAX-SAT  
Hybrid algorithm  
Metaheuristics

### ABSTRACT

Many real world problems, such as circuit designing and planning, can be encoded into the maximum satisfiability problem (MAX-SAT). To solve MAX-SAT, many effective local search heuristic algorithms have been reported in the literature. This paper aims to study how useful information could be gathered during the search history and used to enhance local search heuristic algorithms. For this purpose, we present an adaptive memory-based local search heuristic (denoted by *AMLS*) for solving MAX-SAT. The *AMLS* algorithm uses several memory structures to define new rules for selecting the next variable to flip at each step and additional adaptive mechanisms and diversification strategies. The effectiveness and efficiency of our *AMLS* algorithm is evaluated on a large range of random and structured MAX-SAT and SAT instances, many of which are derived from real world applications. The computational results show that *AMLS* competes favorably, in terms of several criteria, with four state-of-the-art SAT and MAX-SAT solvers *AdaptNovelty+*, *AdaptG2WSAT<sub>p</sub>*, *IRoTS* and *RoTS*.

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### 1. Introduction

As one of the most studied NP-complete problems, the propositional satisfiability problem or SAT has deserved numerous studies in the last few decades. Besides its theoretical importance, SAT has many practical applications such as circuit designing, planning or graph coloring, since such problems can be conveniently formulated with SAT in a natural way [7].

A SAT instance  $\mathcal{F}$  is typically defined by a set of  $n$  Boolean variables and a conjunctive normal form (CNF) of a set of  $m$  disjunctive clauses of literals, where each literal is a variable or its negation. The SAT problem consists in deciding whether there exists an assignment of truth values to variables such that all clauses in  $\mathcal{F}$  can be satisfied.

MAX-SAT is the optimization variant of SAT in which the objective is to find an assignment of truth values to the variables in  $\mathcal{F}$  that minimizes the number of *unsatisfied* clauses or equivalently to find an assignment that maximizes the number of satisfied clauses. In weighted MAX-SAT, each clause  $c_i$  is associated with a weight  $w_i$  and the objective is to minimize the total weight of the unsatisfied clauses. Obviously, SAT can be considered as a special case of MAX-SAT and the latter is a special case of weighted MAX-SAT where each clause weight equals to one.

Given a CNF formula  $\mathcal{F}$  and an initial assignment, local search procedures repeatedly modify locally this assignment, typically by flipping each time one variable, in order to find an assignment having as large as possible weights of satisfied clauses of  $\mathcal{F}$ . Since the introduction of *GSAT* [23] and *WalkSAT* [22], there have been a large number of local search heuristics proposed to tackle the SAT and MAX-SAT problems. These heuristics mainly differ from each other on the variable selection heuristics used at each local search iteration.

Besides historically important *GSAT* and *WalkSAT*, other representative state-of-the-art local search algorithms in the literature include various enhanced *GSAT* and *WalkSAT* solvers (e.g., *GSAT/Tabu* [18], *WalkSAT/Tabu* [19], *Novelty* and *R\_Novelty* [19], *G2WSAT* [14]), adaptive solvers based on dynamic noise tuning (e.g., *AdaptNovelty+* [9], *AdaptG2WSAT<sub>p</sub>* [16] and *TNM* [15]), variable weighting algorithms (e.g., *VW* [21]), clause weighting algorithms (e.g., Pure Additive Weighting Scheme (*PAWS*) [27], Scaling and Probabilistic Smoothing (*SAPS*) [10] and Discrete Lagrangian Method (*DLM*) [31]), genetic algorithm [12], hybrid algorithms (e.g., *GASAT* [13] and *Hybrid* [30]) and other SAT or CSP solvers [4,6,11,17,24,29]. Interested readers are referred to [7] for more details.

However, no single local search heuristic can be effective on all types of instances, since each type of instances presents certain characteristics. One way to design an effective heuristic algorithm is to take advantage of various memory information gathered during the search process to guide the algorithm into promising search regions and diversify the search when necessary. Furthermore, it is also essential to adapt the search to switch

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smoothly between intensification and diversification according to the search history. Therefore, this paper aims to study how useful information collected during the search history could be used to enhance the performance of local search heuristic algorithms.

Following this spirit, we propose a memory-based local search heuristic (denoted by *AMLS*) for MAX-SAT and SAT. In order to achieve a suitable tradeoff between intensification and diversification, our variable selection heuristic is based on various memory structures. In addition, the diversification parameters used in the algorithm are dynamically adjusted according to the search history.

In addition to taking advantage of some well known strategies in the literature, our *AMLS* introduces some original features:

- In the variable selection heuristic, our *AMLS* algorithm globally takes into account information related to tabu mechanism, variable flipping recency, and consecutive falsification and satisfaction of clauses, which is missing in the literature.
- To refine our variable selection rule, we introduce a penalty function which is guided by clause falsification and satisfaction information.
- Our *AMLS* algorithm employs a dynamic tabu tenure strategy. Additionally it uses an aspiration criterion to choose a tabu variable under specific conditions.
- We adopt the Hoos's adaptive mechanism [9] to dynamically adjust both diversification parameters  $p$  and  $wp$ , while this mechanism is previously used to adjust  $p$  only.
- We employ an adaptive random perturbation operator to diversify the search when the search reaches a local optimum solution.

Our experimental results show that, on a broad range of MAX-SAT and SAT instances, many of which are derived from real word application, *AMLS* compares favorably with the state-of-the-art local search algorithms, such as *AdaptNovelty+*, adaptive gradient-based WalkSAT algorithm with promising decreasing variable heuristics (*AdaptG2WSAT<sub>p</sub>*), Robust Tabu Search (*RoTS*) and Iterated Robust Tabu Search (*IRoTS*). Furthermore, without any manual parameter tuning, *AMLS* solves effectively these instances in a reasonable time.

The remaining part of the paper is organized as follows. In Section 2, we briefly review some previous related works in the literature. Then, Section 3 presents our adaptive memory based local search algorithm. Section 4 is dedicated to computational results. Discussion and remarks are presented in Section 5 and conclusions are given in Section 6.

## 2. Related works

In spite of the differences between our algorithm and existing SAT and MAX-SAT solvers, *AMLS* inherits some elite features of previous algorithms. We now review some of these related works and the way of adopting these features into our *AMLS* algorithm.

For the incomplete SAT solvers developed during the last two decades, the most significant advancement was perhaps to introduce “random walk” component into the local search procedures, leading to the well-known *GSAT* with random walk [23] and *WalkSAT* [22]. At each step, *GSAT* greedily chooses a best variable to flip among *all the variables* that occur in at least one unsatisfied clause, while *WalkSAT* first randomly selects *one unsatisfied clause* and then always picks a variable from the selected clause to flip. Our *AMLS* algorithm attempts to incorporate both the intensification of *GSAT* to select the best variable to flip and the diversification of *WalkSAT* to always pick a variable from one random unsatisfied clause to flip.

One direct improvement on *GSAT* and *WalkSAT* is to extend them into a simple Tabu Search strategy. *GSAT/Tabu* is obtained from *GSAT* by associating a tabu status with the variables [18]. Similar

to *GSAT/Tabu*, there is also an extension to *WalkSAT* which employs a tabu mechanism, called *WalkSAT/Tabu* [19]. For both algorithms, each flipped variable is enforced with a tabu tenure to avoid repeating previous recent moves. Our *AMLS* algorithm then adopts the tabu table to diversify the search when we choose the best variable to flip as *GSAT*.

McAllester et al. [19] improved the *WalkSAT* algorithm by introducing the following two improvements for selecting the variable to flip: favoring the best variable that is not recently flipped and selecting the second best variable according to certain probability  $p$ . The probability  $p$  is called the *noise parameter*. These strategies lead to the famous *Novelty* and *R.Novelty* heuristics. Our *AMLS* algorithm then borrows the idea of strategically selecting the second best variable to flip to further enhance its diversification capability.

For *WalkSAT*-based algorithms, the choice of the noise parameter  $p$  has a major impact on the performance of the respective algorithm. However, finding the optimal noise setting is extremely difficult. Hoos proposed an adaptive mechanism to adjust the noise parameter  $p$  according to the search history [9]. The main idea is to increase the noise value when the search procedure detects a stagnation behavior. Then, the noise is gradually decreased until the next stagnation situation is detected. This adaptive mechanism leads to various adaptive algorithms, such as *AdaptNovelty+* [9] and *AdaptG2WSAT<sub>p</sub>* [16]. Li and Wei [15] proposed another noise adaptive mechanism relying on the history of the most recent consecutive falsification of a clause. The main principle is that if a clause is most recently falsified by a same variable for a number of consecutive times, then the noise level should be increased [15]. We adapt this adaptive mechanism into our *AMLS* algorithm to enhance its robustness and utilize the information of the recent consecutive falsification of a clause to strategically select the second best variable to flip.

Hoos introduced random walk into the *Novelty* and *R.Novelty* heuristics to prevent the extreme stagnation behavior, leading to the *Novelty+* and *R.Novelty+* heuristics [8]. These variants perform a random walk with a small probability  $wp$ , and select the variable to be flipped according to the standard *Novelty* and *R.Novelty* mechanisms with probability  $1 - wp$ . We adopt this famous “random walk” strategy as one of the main mechanisms to diversify the search in our *AMLS* algorithm.

Battiti and Potasi proposed a Reactive Tabu Search (H-RTS) algorithm for unweighted MAX-SAT, in which the tabu tenure is dynamically adjusted during the search [1]. Also noteworthy is an Iterated Local Search by Yagiura and Ibaraki [32] that is different from many other approaches in that 2-flip and 3-flip neighborhoods are used. The perturbation phase consists of a fixed number of random walk steps. In [25], a new stochastic local search algorithm, called Iterated Robust Tabu Search (IRoTS), was presented for MAX-SAT that combines two well-known metaheuristic approaches: Iterated Local Search and Tabu Search. IRoTS used a different perturbation mechanism, in which a number of RoTS steps are performed with tabu tenure values that are substantially higher than the ones used in the local search phase. For strong diversification purpose, our *AMLS* algorithm employs a perturbation strategy to diversify the search in a drastic way.

## 3. Adaptive memory-based local search

### 3.1. Evaluation function and neighborhood moves

Given a CNF formula  $\mathcal{F}$  and an assignment  $A$ , the evaluation function  $f(A)$ , is the total weight of unsatisfied clauses in  $\mathcal{F}$  under  $A$ . This function is to be minimized with zero as its optimal value, if possible. Our *AMLS* algorithm is based on the widely used *one-flip* move *neighborhood* defined on the set of variables contained in at least one unsatisfied clause (*critical variables*).

**Algorithm 1.** AMLS procedure for MAX-SAT.

```

1:   Input: CNF formula  $\mathcal{F}$ ,  $Maxpert$  and  $Maxsteps$ 
2:   Output: the best truth assignment  $A^*$  and  $f(A^*)$ 
3:    $A \leftarrow$  randomly generated truth assignment
4:    $A^* \leftarrow A$ 
5:   for  $try := 1$  to  $Maxpert$  do
6:     Parameter initialization:  $p := 0, wp := 0$ 
7:     for  $step := 1$  to  $Maxsteps$  do
8:        $mv(y) \leftarrow$  neighborhood move selected from  $M(A)$  (see
Algorithm 2)
9:        $A := A \oplus mv(y)$ 
10:      if  $f(A) < f(A^*)$  then
11:         $A^* \leftarrow A$ 
12:      end if
13:      Set the tabu tenure of variable  $a_y$ 
14:      Update the diversification parameters  $p$  and  $wp$ 
15:    end for
16:     $A \leftarrow$  Perturbation Operator( $A^*$ ) (see Section 3.5)
17:  end for

```

More formally, the most obvious way to represent a solution for a MAX-SAT instance with  $n$  variables is to use a  $n$ -binary vector  $A = [a_1, a_2, \dots, a_n]$ .  $A[i]$  denotes the truth value of the  $i$ th variable  $a_i$ .  $A[i \leftarrow \alpha]$  denotes an assignment  $A$  where the  $i$ th variable has been set to the value  $\alpha$ . Given a clause  $c$ , we use  $sat(A, c)$  to denote the fact that the assignment  $A$  satisfies the clause  $c$ . Each clause  $c$  is associated with a weight  $w_c$ . For unweighted problems,  $w_c = 1$  for all the clauses. We use  $i < c$  to represent that variable  $a_i$  or its negation  $\neg a_i$  appears in clause  $c$ . Therefore, the evaluation function  $f(A)$  can be written as:

$$f(A) = \sum_{c \in \mathcal{F}} \{w_c | \neg sat(A, c)\} \quad (1)$$

In a local search procedure, applying a move  $mv$  to a candidate solution  $A$  leads to a new solution denoted by  $A \oplus mv$ . Let  $M(A)$  be the set of all possible moves which can be applied to  $A$ , then the neighborhood of  $A$  is defined by:  $N(A) = \{A \oplus mv | mv \in M(A)\}$ . For MAX-SAT, we use the *critical one-flip* neighborhood defined by flipping one *critical variable* at a time. A move that flips the truth assignment of the  $i$ th variable  $a_i$  from  $A[i]$  to  $1-A[i]$  is denoted by  $mv(i)$ . Thus,

$$M(A) = \{mv(i) | \exists c \in \mathcal{F}, i < c \wedge \neg sat(A, c), i = 1, \dots, n\} \quad (2)$$

3.2. Main scheme and basic preliminaries

The general architecture of the proposed AMLS procedure is described in Algorithm 1. From an initial truth assignment  $A$  of variables, AMLS optimizes the evaluation function  $f(A)$  by minimizing the total weight of unsatisfied clauses.

At each search step, a key decision is the selection of the next variable to be flipped (see line 8 of Algorithm 1). This memory-based variable selection heuristic is explained later in Algorithm 2. AMLS uses two diversification parameters ( $p$  and  $wp$ ). The adaptive tunings of both parameters (line 14) and the perturbation operator (line 16) are described in later sections.

As a basis for the following explanation, we first explain how neighboring solutions are evaluated. Indeed, for large problem instances, it is necessary to be able to rapidly determine the effect of a move on  $f(A)$ . In our implementation, since at each iteration we examine all the *critical* neighborhood moves, an incremental evaluation technique widely used in the family of GSAT algorithms [7] is employed to cache and update the variable scores. This forms the basis for choosing the variable to be flipped at each search step.

Specifically, let  $y$  be the index of a variable to be flipped. The break of  $y$ ,  $break(y)$ , denotes the total weight of clauses in  $\mathcal{F}$  that are currently satisfied but will be unsatisfied if variable  $y$  is flipped. The make of  $y$ ,  $make(y)$ , denotes the total weight of clauses in  $\mathcal{F}$  that

**Algorithm 2.** Variable selection heuristic for AMLS.

```

1:   Input:  $A$  and  $N(A)$ 
2:   Output: the selected variable  $y$  to be flipped
3:   // Intensification phase: lines 8–13, 22
4:   // Diversification phase: lines 14–21
5:   Let  $N_{ts}(A)$  denote the set of neighborhood moves that are tabu
6:   Let  $x_{tb}$  be the best variable in  $N_{ts}(A)$  (tabu moves) in terms of the
score value
7:   Let  $x_{nb}$  and  $x_{nsb}$ , respectively, be the best and the second best
variables in  $N(A) \setminus N_{ts}(A)$  (non-tabu variable moves) in terms of the
score value
8:   if  $score(x_{tb}) < score(x_{nb})$  and  $f(A) + score(x_{tb}) < f(A^*)$  then
9:      $y := x_{tb}$ ; return  $y$ 
10:  end if
11:  if  $score(x_{nb}) < 0$  then
12:     $y := x_{nb}$ ; return  $y$ 
13:  end if
14:  if  $rand[0, 1] < wp$  then
15:     $y :=$  random walk move selected from  $N(A) \setminus N_{ts}(A)$ ; return  $y$ 
16:  end if
17:  if  $x_{nb}$  is the least recently flipped variable in  $N(A) \setminus N_{ts}(A)$  and
 $rand[0, 1] < p$  then
18:    if  $penalty(x_{nsb}) < penalty(x_{nb})$  then
19:       $y := x_{nsb}$ ; return  $y$ 
20:    end if
21:  end if
22:   $y := x_{nb}$ ; return  $y$ 

```

are currently unsatisfied but will be satisfied if variable  $y$  is flipped. The score of  $y$  with respect to  $A$ ,  $score(y)$ , is the difference between  $break(y)$  and  $make(y)$ . Formally,

$$break(y) = \sum_{c \in \mathcal{F}} \{w_c | sat(A, c) \wedge \neg sat(A \oplus mv(y), c)\} \quad (3)$$

$$make(y) = \sum_{c \in \mathcal{F}} \{w_c | \neg sat(A, c) \wedge sat(A \oplus mv(y), c)\} \quad (4)$$

$$score(y) = break(y) - make(y) \quad (5)$$

In our implementation, we employ a fast incremental evaluation technique to calculate the move value of transitioning to each neighboring solution. Specifically, at each search step only the *break* and *make* values affected by the current move (i.e., the variables that appear in the same clauses with the currently flipped variable) are updated.

3.3. Memory-based variable selection heuristic

In order to enhance the search capability of our algorithm, we introduce a variable selection heuristic which relies on a set of memory components, including a tabu table to avoid selecting the recently flipped variables, a flip recency structure to record the iteration at which a variable is recently flipped and two other memory structures to respectively record the frequency of a variable that recently consecutively falsifies and satisfies a clause. All these memory structures are jointly used by our variable selection heuristic, as described in Algorithm 2.

Tabu Search (TS) typically incorporates a *tabu list* as a “recency-based” memory structure to assure that solutions visited within a certain span of iterations, called the tabu tenure, will not be revisited [5]. Our AMLS algorithm uses such a tabu list as one of its three diversification strategies. In our implementation, each time a variable  $y$  is flipped, a value is assigned to an associated record  $TabuTenure(y)$  (identifying the “tabu tenure” of  $y$ ) to prevent  $y$  from being flipped again for the next  $TabuTenure(y)$  iterations. For our experiments, we set the tabu tenure in two ways according to whether the instance is satisfiable or not. Specifically, if the instance is unsatisfiable, we set:

$$TabuTenure(y) = tl + rand(15) \quad (6)$$



If the instance is satisfiable, we set:

$$TabuTenure(y) = \lfloor tp \cdot |N(A)| \rfloor + rand(15) \quad (7)$$

where  $tl$  is a given constant and  $rand(15)$  takes a random value from 1 to 15.  $|N(A)|$  is the cardinality of the current neighborhood  $N(A)$  and  $tp$  is a tabu tenure parameter. We empirically set  $tp$  to 0.25 in all the experiments in this paper and we observe that  $tp \in [0.15, 0.35]$  gives satisfying results on a large number of instances.

One observes that for satisfiable instances at the beginning of the search, the cardinality of the current neighborhood  $|N(A)|$  is large enough and the second part of the tabu tenure function becomes dominated by the first part. On the other hand, as the algorithm progresses, the size of the current neighborhood becomes smaller and smaller. In this situation, since the search is around the local optimum regions, we mainly use a random tabu tenure (the second part) to enlarge the diversification of the search. This is quite different from the situation for the unsatisfiable instances.

For convenience, we use  $N_{ts}(A)$  to represent the subset of the current neighborhood that are declared tabu. *AMLS* then restricts consideration to variables in  $N(A) \setminus N_{ts}(A)$  (i.e., moves that are not currently tabu). However, an *aspiration criterion* is applied that permits a move to be selected in spite of being tabu if it leads to a solution better than both the current best non-tabu move ( $x_{nb}$ ) and the best solution found so far, as shown in lines 8–10 of Algorithm 2. Note that in the case that two or more tabu moves have the same score value, we break ties in two ways: one is to favor the least recently flipped variable; the other is to select a variable randomly. This also applies to the situation of identifying the *best* and the *second best* ( $x_{nsb}$ ) non-tabu moves as following. These two tie-breaking options lead to two versions of our *AMLS* algorithm, denoted by *AMLS1* and *AMLS2* respectively.

Under the condition that the aspiration criterion is not satisfied, if the best non-tabu move (variable) in the current neighborhood can improve the current solution (i.e.,  $score(x_{nb}) < 0$ ), our algorithm deterministically selects the best non-tabu move as the variable to be flipped, as described in lines 11–13 of Algorithm 2. These two strategies constitute the intensification phase of our *AMLS* algorithm. These intensification strategies also guarantee that new better solutions would not be missed if such solutions exist in the current neighborhood.

Additionally, our *AMLS* algorithm uses two more strategies to diversify the search when *improving* move (i.e., the move that can improve the current solution) does not exist. In this case, our *AMLS* algorithm randomly selects a variable in the current neighborhood to flip with a small probability  $wp$ , as shown in lines 14–16 of Algorithm 2.  $wp$  is a diversification parameter just as in *Novelty+* algorithm, which lies in  $[0, 0.05]$  and is adaptively adjusted during the search. One notices that this strategy is somewhat different from the “random walk” strategy used in the *WalkSAT* family algorithms, since we consider all the non-tabu *critical variables* while the *WalkSAT* family algorithms always consider the variables in a randomly selected clause.

Our last diversification mechanism is to strategically select the second best non-tabu move according to the search history. This selection is only active when the best non-tabu variable is the recently flipped variable in the current non-tabu neighborhood (line 17 in Algorithm 2), evaluated by the memory structure called *recency* which represents when a variable is most recently flipped. In our implementation, each time a variable  $y$  is flipped, the current iteration index (the *step* number in Algorithm 1) is assigned to an associated record *recency*( $y$ ).

Then, we compare the *best* and the *second best* non-tabu variables (denoted by  $x_{nb}$  and  $x_{nsb}$  respectively) according to a penalty value. The basic idea is that if a clause is most recently falsified (or satisfied) by the same variable for a number of consecutive times, this variable receives a high penalty if its flipping falsifies (or

satisfies) again the clause. We denote this kind of penalty cost by *penalty*( $\cdot$ ). Then, if *penalty*( $x_{nsb}$ ) is smaller (better) than *penalty*( $x_{nb}$ ), the second best non-tabu variable  $x_{nsb}$  is selected with a probability  $p$ , as shown in lines 17–21 of Algorithm 2. Just like  $wp$  mentioned above, the parameter  $p$  lies in  $[0, 1]$  and is also dynamically adjusted during the search.

Now, we give the details for calculating the *penalty*( $\cdot$ ) value. For this purpose, we give some basic definitions. During the search, for a clause  $c$  we respectively record the variable  $v_f[c]$  that most recently *falsifies* the clause and the variable  $v_s[c]$  that most recently *satisfies*  $c$ . A variable *falsifies* a clause means that flipping the variable makes the clause from satisfied to unsatisfied, while a variable *satisfies* a clause implies that the clause turns from unsatisfied to satisfied after the variable is flipped. Meanwhile, the value  $n_f[c]$  (respectively  $n_s[c]$ ) records the consecutive times that variable  $v_f[c]$  (*falsifies* (respectively  $v_s[c]$  *satisfies*) clause  $c$ .

At the beginning of the search, for each clause  $c$  we initialize  $v_f[c]$  to be *null*. Once a clause  $c$  is falsified by flipping a variable  $y$ , we check whether  $v_f[c]$  and  $y$  are the same variable. If yes, variable  $y$  falsifies clause  $c$  again, and thus we increase  $n_f[c]$  by 1. Otherwise, variable  $y$  is a new variable that falsifies clause  $c$  and we set  $v_f[c] = y$  and  $n_f[c] = 1$ . The values of  $v_s[c]$  and  $n_s[c]$  are likewise updated based on the consecutive satisfaction history of clause  $c$ .

At each step for a variable  $y$  to be flipped, we define the following two sets:

$$RS[y] = \{c \in \mathcal{F} | y \text{ satisfies clause } c \text{ and } y = v_s[c]\}$$

$$RF[y] = \{c \in \mathcal{F} | y \text{ falsifies clause } c \text{ and } y = v_f[c]\}$$

$RS[y]$  and  $RF[y]$  respectively denote the set of clauses which have been recently consecutively *satisfied* and *falsified* by variable  $y$ .

Thus, the penalty of variable  $y$  is defined as:

$$penalty(y) = \frac{\sum_{c \in RS[y]} 2^{n_s[c]}}{2|RS[y]|} + \frac{\sum_{c \in RF[y]} 2^{n_f[c]}}{2|RF[y]|} \quad (8)$$

This penalty function measures the degree to which the flipping of variable  $y$  can repeat the most recent satisfaction and falsification of a clause on average. Note that if the set  $RS[y]$  or  $RF[y]$  is empty, the corresponding part in Eq. (8) is set to zero, implying that the flipping of variable  $y$  will not repeat the most recent satisfaction or falsification of any clause.

This penalty function is different from the information used in the *Novelty* family algorithms, where only the recency information is used to guide the selection of the second best variable. Furthermore, this strategy is also different from the look-ahead strategy used in *AdaptG2WSAT<sub>p</sub>* [16], which selects the second best variable according to the promising scores made by a *two-flip* move. In *TNM* [15], the consecutive falsification information of clauses is also used. However, *TNM* only considers the falsification information on the currently selected clause and the satisfaction information of clauses are not taken into account.

### 3.4. Dynamic parameters adjustment

Previous research has demonstrated that it is highly desirable to have a mechanism that automatically adjusts the noise parameter such that a near optimal performance can be achieved. One of the most effective techniques is the adaptive noise mechanism proposed in [9] for the *WalkSAT* family algorithms, leading to various algorithms, such as *AdaptNovelty+* [9] and *AdaptG2WSAT<sub>p</sub>* [16]. We extend this adaptive mechanism to adjust the two diversification parameters in our algorithm.

According to this mechanism, the noise parameters are first set at a level low enough such that the objective function value can be quickly improved. Once the search process detects a stagnation

situation, the noise level is increased to reinforce the diversification until the search process overcomes the stagnation. Meanwhile, the noise is gradually decreased when the search begins to improve the objective value.

In our *AMLS* algorithm, there are two diversification parameters  $wp$  and  $p$  that can be adjusted. One observes that the larger the values of  $wp$  and  $p$  are, the higher possibility that the search can be diversified. Specifically, we record at each adaptive step the current iteration number and the objective value of the current solution. Then, if one observes that this objective value has not been improved over the last  $m/6$  steps, where  $m$  is the number of clauses of the given problem instance, the search is supposed to be stagnating. At this point, the two parameters are *increased* according to:  $wp := wp + (0.05 - wp)/5$  and  $p := p + (1 - p)/5$ . Similarly, both parameter values are kept until another stagnation situation is detected or the objective value is improved, in the latter case, both parameters are *decreased*:  $wp := wp - wp/10$  and  $p := p - p/10$ . These constant values are borrowed from [9] and have shown to be quite effective for all the tested instances in this paper.

### 3.5. Perturbation operator

When the best solution cannot be further improved using the local search algorithm presented above, we employ a simple perturbation operator to locally perturb the local optimum solution and then another round of the local search procedure restarts from the perturbed solution. In order to guide efficiently the search to jump out of the local optima and lead the search procedure to a new promising region, we reconstruct the local optimum solution obtained during the current round of local search as follows.

Our perturbation operator consists of a sequential series of perturbation steps. At each perturbation step, we first order the score values of all the neighborhood moves in  $N(A)$  and then randomly choose one among the  $\mu$  best moves in terms of score values. After flipping the chosen variable, all the affected move values are updated accordingly and the chosen variable is declared tabu. Note that here the tabu tenure is much longer than the case in the local search procedure. We set it to be a random value from  $Maxsteps/4$  to  $Maxsteps/3$ . This strategy is aimed to prevent the search from repeating the perturbation moves at the beginning of the next round of local search.

We repeat the above-mentioned perturbation moves for a given number  $\lambda$  of times, which is also called the perturbation strength. Finally, a new round of local search procedure is launched from the perturbed solution with the flipped variables having longer tabu tenure than the usual local search. This idea is similar to that used in *IRoTS* algorithm [25]. It should be noticed that once a variable is flipped, it is strictly restricted to be flipped again during the current perturbation phase.

## 4. Experimental results

### 4.1. Reference algorithms and experimental protocol

In order to evaluate the relative effectiveness and efficiency of our proposed algorithm, we compared our *AMLS* algorithm with 4 effective MAX-SAT and SAT solvers in the literature:

- *AdaptNovelty+*: stochastic local search algorithm with an adaptive noise mechanism in [9].
- *AdaptG2WSAT<sub>p</sub>*: adaptive gradient-based greedy *WalkSAT* algorithm with promising decreasing variable heuristic in [16].
- *IRoTS*: Iterated Robust Tabu Search algorithm in [25].
- *RoTS*: Robust Tabu Search algorithm in [26].

**Table 1**  
Settings of important parameters.

| Parameters | Section | Description                            | Value       |
|------------|---------|--|-------------|
| $Maxpert$  | 3.2     | Number of perturbation phases          | 100         |
| $tl$       | 3.3     | Tabu tenure constant                   | 15          |
| $tp$       | 3.3     | Tabu tenure parameter                  | 0.25        |
| $\mu$      | 3.5     | Number of candidate perturbation moves | 15          |
| $\lambda$  | 3.5     | Perturbation strength                  | $r[20, 30]$ |

We should notice that these 4 reference algorithms are among the most successful approaches for solving a wide range of MAX-SAT and SAT benchmark instances in the literature. For these reference algorithms, we carry out the experiments using UBCSAT (version 1.1) – an implementation and experimentation environment for stochastic local search algorithms for SAT and MAX-SAT solvers – which is downloadable at the webpage<sup>1</sup> [28]. As claimed by Tompkins and Hoos [28], the implementations of these reference algorithms in UBCSAT is more efficient than (or just as efficient as) the original implementations. Therefore, a comparison of our algorithm with the UBCSAT implementations is meaningful.

Our algorithm is coded in C and compiled using GNU GCC on a Cluster running Linux with Pentium 2.88 GHz CPU and 2 GB RAM. Table 1 gives the descriptions and settings of the parameters used in our *AMLS* algorithm for the experiments.

Given the stochastic nature of the proposed algorithm as well as the reference algorithms, each problem instance is independently solved 20 and 100 times respectively for the unsatisfiable and satisfiable instances. Notice that to solve each problem instance, our algorithm and the four reference algorithms are given the same amount of computing effort on the same computer.

As indicated in Section 3.3, we break ties in two ways when we select the best (tabu and non-tabu) and the second best variables: by favoring the least recently flipped variable and selecting a variable randomly. Thus, we denote our *AMLS* algorithm using these two ways of breaking ties by *AMLS1* and *AMLS2*, respectively.

In this paper, we use the total number of iterations as the stop condition of all these 6 algorithms, i.e., the value of  $Maxpert \times Maxsteps$  in Algorithm 1.

### 4.2. Test instances

To evaluate our *AMLS* algorithm for the MAX-SAT problem, we have tested *AMLS* on 79 well-known benchmark instances. Some of them are derived from other specific problems while others are randomly generated. In some circumstances, it is necessary for each clause to have a weight such that the objective is to maximize the total weights of the satisfied clauses. These 79 benchmark instances belong to four families:

- *UnWeighted2/3MaxSat*. This set contains 13 randomly generated *unweighted* MAX-2-SAT and MAX-3-SAT instances first described in [2].<sup>2</sup> The stop condition for this set of instances is  $10^6$  iterations.
- *WeightedMaxSat*. These 10 instances are *weighted* variants of randomly generated instances used in [32].<sup>3</sup> All of them have 1000 variables and 11,050 clauses. The stop condition for this set of instances is  $10^8$  iterations.
- *UnWeightedMaxSat*. This set of instances consists of 27 structured *unweighted* MAX-SAT instances presented at the SAT2002 or SAT2003 competitions which are available at the web site.<sup>4</sup>

<sup>1</sup> <http://www.satlib.org/ubcsat/index.html>.

<sup>2</sup> <http://infohost.nmt.edu/~borchers/maxsat.html>.

<sup>3</sup> <http://www.hirata.nuee.nagoya-u.ac.jp/~yagiura/msat.html>.

<sup>4</sup> <http://www.info.univ-angers.fr/pub/lardeux/SAT/benchmarks-EN.html>.

**Table 2**  
Computational results on the *UnWeighted2/3MaxSat* instances (stop condition:  $10^6$ ).

| Instance  | $f^*$ | AMLS1     |             |            | AMLS2     |             |        | AdaptNovelty+ |           |         |
|-----------|-------|-----------|-------------|------------|-----------|-------------|--------|---------------|-----------|---------|
|           |       | #suc      | $f_{avr}$   | #step      | #suc      | $f_{avr}$   | #step  | #suc          | $f_{avr}$ | #step   |
| p2200/100 | 5     | 20        | 5.0         | 222        | 20        | 5.0         | 417    | 20            | 5.0       | 478     |
| p2300/100 | 15    | 20        | 15.0        | 220        | 20        | 15.0        | 152    | 20            | 15.0      | 817     |
| p2400/100 | 29    | <b>20</b> | <b>29.0</b> | 3083       | <b>20</b> | <b>29.0</b> | 2006   | 10            | 29.5      | 354,426 |
| p2500/100 | 44    | <b>20</b> | <b>44.0</b> | 359        | <b>20</b> | <b>44.0</b> | 292    | 5             | 44.8      | 358,968 |
| p2600/100 | 65    | <b>20</b> | <b>65.0</b> | 369        | <b>20</b> | <b>65.0</b> | 299    | 1             | 66.9      | 387,088 |
| p3500/100 | 4     | 20        | 4.0         | 43,051     | 20        | 4.0         | 14,771 | 20            | 4.0       | 2646    |
| p3550/100 | 5     | 20        | 5.0         | 6272       | 20        | 5.0         | 7784   | 20            | 5.0       | 3795    |
| p3600/100 | 8     | 20        | 8.0         | 4368       | 20        | 8.0         | 4829   | 20            | 8.0       | 8299    |
| p2300/150 | 4     | 20        | 4.0         | <b>208</b> | 20        | 4.0         | 210    | 20            | 4.0       | 237     |
| p2450/150 | 22    | 20        | 22.0        | <b>354</b> | 20        | 22.0        | 398    | 20            | 22.0      | 35,985  |
| p2600/150 | 38    | <b>20</b> | <b>38.0</b> | 5188       | <b>20</b> | <b>38.0</b> | 15,283 | 0             | 39.2      | –       |
| p3675/150 | 2     | 20        | 2.0         | 24,153     | 20        | 2.0         | 20,558 | 20            | 2.0       | 7909    |
| p3750/150 | 5     | 20        | 5.0         | 41,177     | 20        | 5.0         | 11,906 | 20            | 5.0       | 8137    |

  

| Instance  | $f^*$ | AdaptG2WSAT <sub>p</sub> |             |            | IRoTS     |             |             | RoTS      |             |            |
|-----------|-------|--------------------------|-------------|------------|-----------|-------------|-------------|-----------|-------------|------------|
|           |       | #suc                     | $f_{avr}$   | #step      | #suc      | $f_{avr}$   | #step       | #suc      | $f_{avr}$   | #step      |
| p2200/100 | 5     | 20                       | 5.0         | <b>150</b> | 20        | 5.0         | 672         | 20        | 5.0         | 920        |
| p2300/100 | 15    | 20                       | 15.0        | 175        | 20        | 15.0        | <b>127</b>  | 20        | 15.0        | 200        |
| p2400/100 | 29    | 16                       | 29.2        | 43,585     | <b>20</b> | <b>29.0</b> | <b>505</b>  | <b>20</b> | <b>29.0</b> | 513        |
| p2500/100 | 44    | <b>20</b>                | <b>44.0</b> | 8885       | <b>20</b> | <b>44.0</b> | <b>125</b>  | <b>20</b> | <b>44.0</b> | 141        |
| p2600/100 | 65    | <b>20</b>                | <b>65.0</b> | 21,354     | <b>20</b> | <b>65.0</b> | 158         | <b>20</b> | <b>65.0</b> | <b>151</b> |
| p3500/100 | 4     | 20                       | 4.0         | 1952       | 20        | 4.0         | <b>1939</b> | 20        | 4.0         | 2314       |
| p3550/100 | 5     | 20                       | 5.0         | 1561       | 20        | 5.0         | <b>2230</b> | 20        | 5.0         | 3775       |
| p3600/100 | 8     | 20                       | 8.0         | 3861       | 20        | 8.0         | <b>1817</b> | 20        | 8.0         | 2053       |
| p2300/150 | 4     | 20                       | 4.0         | 216        | 20        | 4.0         | 226         | 20        | 4.0         | 263        |
| p2450/150 | 22    | 20                       | 22.0        | 3917       | 20        | 22.0        | 374         | 20        | 22.0        | 580        |
| p2600/150 | 38    | 2                        | 38.9        | 42,976     | <b>20</b> | <b>38.0</b> | <b>1807</b> | <b>20</b> | <b>38.0</b> | 3564       |
| p3675/150 | 2     | 20                       | 2.0         | 6705       | 20        | 2.0         | <b>5674</b> | 20        | 2.0         | 22,831     |
| p3750/150 | 5     | 20                       | 5.0         | 5237       | 20        | 5.0         | <b>4737</b> | 20        | 5.0         | 9262       |

These instances are also tested in [3,13]. The stop condition for this set of instances is  $10^7$  iterations.

- *Satisfiable*. This set of instances consists of 29 *hard satisfiable* both randomly generated and structured instances used in the DIMACS Challenge which have been widely used by many SAT solvers and are available in SATLIB.<sup>5</sup> The stop condition for this set of instances is set according to the size and difficulty of the tested instances.

### 4.3. Computational results

We first present in Table 2 the computational results of the two versions of AMLS (AMLS1 and AMLS2) on the 13 *UnWeighted2/3MaxSat* instances, compared with the 4 reference algorithms. In Table 2 the first two columns identify the problem instance and the best known objective values  $f^*$ . From column 3, each three columns give the results of one of the tested algorithms according to three criteria: (1) the success rate, #suc, to the best known objective values over 20 runs, (2) the average objective value,  $f_{avr}$ , over 20 independent runs and (3) the average search step, #step, for reaching the best known result  $f^*$ . Notice that these criteria have been widely used for efficiency evaluation of heuristic algorithms. If the algorithms lead to different results, the best results are indicated in bold.

Table 2 shows that the two versions of our AMLS algorithm can easily reach the best known objective values with a 100% success rate within the given stop condition for all the considered instances, equalling that of IRoTS and RoTS. One observes that the algorithms *AdaptNovelty+* and *AdaptG2WSAT<sub>p</sub>* cannot always find the best known objective values for 4 and 2 instances, respectively.

In particular, for the instance p2600/150 *AdaptNovelty+* cannot find the best known objective value 38 under this stop condition and *AdaptG2WSAT<sub>p</sub>* can only reach this objective value for twice over 20 runs. In terms of the average search step for reaching the best known results, our AMLS1 algorithm has the best value for 2 instances while only IRoTS outperforms AMLS1, having the best value for 9 instances. In sum, both versions of our AMLS algorithm are effective in finding the best known objective values compared with the 4 reference algorithms for this set of instances.

In Table 3, we show our computational results on the 10 *WeightedMaxSat* instances. Note that this set of instances is in weighted MAX-SAT version. For each algorithm, we show results according to the following three criteria: (1) the best objective value,  $f_{best}$ , over 20 independent runs, (2) the average objective value,  $f_{avr}$ , over 20 independent runs and (3) the CPU time,  $t_{avr}$  (in seconds), for reaching the best objective value  $f_{best}$ . In this experiment, since the weighted version of *AdaptG2WSAT<sub>p</sub>* is not available in UBSCAT, we use its previous version *G2WSAT*.

Table 3 shows that both versions of our AMLS algorithm are competitive with the reference algorithms for these weighted MAX-SAT instances. Specifically, AMLS1 and AMLS2 obtain the best objective values  $f_{best}$  for 4 and 3 instances, respectively. *AdaptNovelty+* performs slightly better than our AMLS algorithm and it can reach the best objective values for 5 instances. However, the three other algorithms *G2WSAT*, *IRoTS* and *RoTS* performs worse than AMLS in terms of both the best and average objective values. These results demonstrate that our AMLS algorithm is quite competitive for solving this set of weighted MAX-SAT problems.

We present in Table 4 the computational results of our AMLS algorithms on the set of *UnWeightedMaxSat* instances. The symbols are the same as in Table 3. Table 4 indicates that our AMLS algorithm performs quite well on this set of instances. Specifically, for the 14

<sup>5</sup> <http://www.satlib.org/benchmark.html>.

**Table 3**  
Computational results on the 10 random *WeightedMaxSat* instances (stop condition:  $10^8$ ).

| Instance | AMLS1       |           |           | AMLS2         |           |           | AdaptNovelty+ |           |           |
|----------|-------------|-----------|-----------|---------------|-----------|-----------|---------------|-----------|-----------|
|          | $f_{best}$  | $f_{avr}$ | $t_{avr}$ | $f_{best}$    | $f_{avr}$ | $t_{avr}$ | $f_{best}$    | $f_{avr}$ | $t_{avr}$ |
| Randwb01 | 9309        | 9922.9    | 352.6     | 9046          | 10023.9   | 333.7     | <b>8617</b>   | 9293.1    | 138.3     |
| Randwb02 | 7992        | 8665.9    | 385.1     | 7996          | 8665.8    | 340.9     | <b>7982</b>   | 8697.0    | 139.9     |
| Randwb03 | <b>9011</b> | 9660.8    | 149.2     | 8838          | 9578.9    | 379.8     | 9030          | 9327.1    | 147.8     |
| Randwb04 | <b>7098</b> | 7628.7    | 122.9     | <b>7098</b>   | 7607.4    | 311.1     | 7374          | 7840.8    | 189.4     |
| Randwb05 | 9089        | 10173.2   | 238.3     | 9484          | 10177.6   | 346.6     | <b>9070</b>   | 10309.1   | 239.5     |
| Randwb06 | 9558        | 10296.9   | 151.6     | 9645          | 10524.2   | 518.9     | <b>9176</b>   | 9744.5    | 342.5     |
| Randwb07 | <b>9387</b> | 10514.2   | 438.5     | 9523          | 10522.6   | 111.7     | 9472          | 9923.5    | 264.7     |
| Randwb08 | <b>8228</b> | 8969.9    | 441.2     | <b>8228</b>   | 9019.3    | 353.3     | 8242          | 8980.5    | 289.5     |
| Randwb09 | 10,274      | 10818.9   | 269.4     | <b>10,032</b> | 10971.4   | 525.7     | 10,303        | 11031.0   | 225.6     |
| Randwb10 | 10,028      | 10560.0   | 54.0      | 10,001        | 10543.2   | 485.0     | <b>9903</b>   | 10264.0   | 243.4     |

  

| Instance | G2WSAT <sup>a</sup> |           |           | IRoTS      |           |           | RoTS       |           |           |
|----------|---------------------|-----------|-----------|------------|-----------|-----------|------------|-----------|-----------|
|          | $f_{best}$          | $f_{avr}$ | $t_{avr}$ | $f_{best}$ | $f_{avr}$ | $t_{avr}$ | $f_{best}$ | $f_{avr}$ | $t_{avr}$ |
| Randwb01 | 16,069              | 17085.7   | 207.3     | 10,626     | 11440.2   | 612.4     | 11,486     | 12263.5   | 509.9     |
| Randwb02 | 13,929              | 14907.5   | 208.4     | 9505       | 10186.6   | 613.4     | 10,251     | 10,989    | 514.8     |
| Randwb03 | 13,587              | 15037.7   | 314.7     | 10,037     | 11098.9   | 583.7     | 10,849     | 11485.5   | 583.5     |
| Randwb04 | 12,440              | 13510.6   | 287.5     | 7965       | 9072.4    | 653.4     | 9156       | 10089.9   | 582.3     |
| Randwb05 | 15,627              | 17204.5   | 254.3     | 11,260     | 11959.1   | 598.6     | 11,642     | 12478.1   | 478.9     |
| Randwb06 | 17,053              | 17814.4   | 235.6     | 11,464     | 12146.3   | 568.3     | 11,399     | 12458.2   | 542.9     |
| Randwb07 | 15,601              | 17167.8   | 287.5     | 10,434     | 11594.3   | 645.7     | 11,530     | 12286.5   | 387.6     |
| Randwb08 | 14,552              | 16277.3   | 305.6     | 10,089     | 11171.8   | 587.3     | 10,005     | 11399.9   | 437.5     |
| Randwb09 | 16,662              | 18175.9   | 312.8     | 11,800     | 12457.4   | 689.3     | 11,863     | 12811.7   | 398.7     |
| Randwb10 | 16,618              | 17662.9   | 235.6     | 11,290     | 12392.2   | 624.8     | 12,227     | 12979.8   | 412.8     |

<sup>a</sup> Due to the unavailability of the weighted version of *AdaptG2WSAT<sub>p</sub>* in UBCSAT, we employ its simplified version G2WSAT in this experiment.

*difp* instances, both versions of *AMLS* can obtain the best objective values  $f_{best}$  for 10 and 12 instances, respectively, while *AdaptNovelty+* and *AdaptG2WSAT<sub>p</sub>* can obtain the best objective values  $f_{best}$  only for one instance and the remaining two algorithms *IRoTS* and *RoTS* cannot obtain the best objective values for any instance. However, one notices that *AdaptNovelty+* can obtain better average objective values  $f_{avr}$  although it is inferior to our *AMLS* algorithm in terms of finding the best objective values. When it comes to the 3 *mat* and 10 *glassy* instances, both versions of *AMLS* can find the best objective values under this stop condition, while *IRoTS* has

difficulty in finding the best objective values for 3 *mat* instances. In sum, this experiment further confirms the efficiency of our *AMLS* algorithm for solving the general unweighted MAX-SAT problems.

Finally, we present in *Table 5* the computational statistics of our *AMLS* algorithm on the set of 29 DIMACS *Satisfiable* instances. In this experiment, we only compare our *AMLS* algorithm with *AdaptG2WSAT<sub>p</sub>* due to the space limit and the reason that *AdaptG2WSAT<sub>p</sub>* performs much better than other three reference algorithms for almost all these satisfiable instances. In this comparison, we use a tabu tenure as shown in Eq. (7) in our *AMLS* algorithm.

**Table 4**  
Computational results on the *UnWeightedMaxSat* instances (stop condition:  $10^7$ ).

| Instance   | AMLS1      |           |            | AMLS2      |           |            | AdaptNovelty+ |           |            | AdaptG2WSAT <sub>p</sub> |           |            | IRoTS      |           |            | RoTS       |           |            |
|------------|------------|-----------|------------|------------|-----------|------------|---------------|-----------|------------|--------------------------|-----------|------------|------------|-----------|------------|------------|-----------|------------|
|            | $f_{best}$ | $f_{avr}$ | $t_{best}$ | $f_{best}$ | $f_{avr}$ | $t_{best}$ | $f_{best}$    | $f_{avr}$ | $t_{best}$ | $f_{best}$               | $f_{avr}$ | $t_{best}$ | $f_{best}$ | $f_{avr}$ | $t_{best}$ | $f_{best}$ | $f_{avr}$ | $t_{best}$ |
| difp_19.0  | <b>6</b>   | 14.8      | 21.4       | <b>6</b>   | 12.4      | 11.9       | 9             | 9.4       | 8.7        | 10                       | 13.2      | 11.4       | 21         | 27.8      | 66.5       | 23         | 28.4      | 52.5       |
| difp_19.1  | 6          | 14.8      | 18.7       | <b>4</b>   | 12.3      | 21.9       | 8             | 9.8       | 10.5       | 11                       | 12.9      | 15.4       | 19         | 26.2      | 47.8       | 23         | 29.6      | 54.8       |
| difp_19.3  | <b>5</b>   | 14.6      | 13.3       | <b>5</b>   | 12.6      | 17.0       | 8             | 9.4       | 5.8        | 11                       | 13.2      | 9.6        | 23         | 29.4      | 67.3       | 24         | 28.2      | 63.8       |
| difp_19.99 | 7          | 16.0      | 25.9       | <b>6</b>   | 13.1      | 9.1        | 8             | 9.7       | 7.5        | 11                       | 12.7      | 16.3       | 26         | 32.7      | 52.7       | 27         | 29.4      | 42.4       |
| difp_20.0  | <b>7</b>   | 16.5      | 22.5       | <b>7</b>   | 12.9      | 9.6        | 9             | 10.1      | 9.8        | 11                       | 12.6      | 18.8       | 18         | 28.2      | 41.0       | 27         | 30.1      | 39.7       |
| difp_20.1  | <b>6</b>   | 16.0      | 18.9       | <b>6</b>   | 13.7      | 19.2       | 8             | 10.2      | 7.8        | 9                        | 13.0      | 10.5       | 26         | 31.3      | 73.2       | 25         | 28.9      | 52.9       |
| difp_20.2  | <b>5</b>   | 14.1      | 20.7       | <b>5</b>   | 12.4      | 6.6        | 8             | 10.2      | 6.8        | 10                       | 12.9      | 17.8       | 26         | 30.1      | 62.1       | 26         | 29.6      | 60.3       |
| difp_20.3  | 7          | 16.0      | 19.4       | <b>5</b>   | 13.1      | 21.9       | 9             | 11.0      | 5.3        | 9                        | 12.1      | 16.9       | 23         | 28.3      | 47.2       | 19         | 25.9      | 58.2       |
| difp_20.99 | 8          | 17.3      | 32.8       | <b>7</b>   | 14.4      | 3.9        | <b>7</b>      | 10.3      | 10.8       | 11                       | 12.8      | 15.2       | 26         | 32.5      | 52.8       | 28         | 30.9      | 44.4       |
| difp_21.0  | <b>7</b>   | 15.6      | 25.8       | <b>8</b>   | 14.6      | 24.9       | 10            | 12.5      | 12.7       | 14                       | 15.6      | 14.9       | 31         | 37.9      | 48.2       | 30         | 36.4      | 39.6       |
| difp_21.1  | <b>6</b>   | 17.4      | 15.4       | 7          | 14.9      | 17.9       | 9             | 11.8      | 14.7       | 12                       | 15.6      | 8.9        | 34         | 38.6      | 42.7       | 31         | 36.7      | 35.4       |
| difp_21.2  | <b>8</b>   | 15.5      | 26.5       | <b>8</b>   | 14.6      | 11.2       | 11            | 12.2      | 10.8       | <b>8</b>                 | 15.9      | 10.2       | 33         | 38.8      | 78.3       | 28         | 35.5      | 49.5       |
| difp_21.3  | <b>8</b>   | 11.8      | 18.9       | <b>8</b>   | 10.5      | 12.4       | 10            | 12.1      | 8.9        | 14                       | 16.3      | 16.4       | 34         | 39.7      | 69.3       | 32         | 36.7      | 58.1       |
| difp_21.99 | <b>7</b>   | 11.9      | 25.6       | <b>7</b>   | 10.3      | 16.4       | 9             | 11.8      | 12.4       | 13                       | 15.4      | 13.8       | 33         | 38.9      | 60.3       | 28         | 35.4      | 50.6       |
| mat25.shuf | <b>3</b>   | 3.7       | 3.1        | <b>3</b>   | 4.0       | 11.5       | <b>3</b>      | 3.0       | 4.9        | <b>3</b>                 | 3.0       | 6.4        | 4          | 4.2       | 34.6       | <b>3</b>   | 3.0       | 17.8       |
| mat26.shuf | <b>2</b>   | 3.5       | 12.4       | <b>2</b>   | 4.0       | 14.1       | <b>2</b>      | 2.0       | 5.4        | <b>2</b>                 | 2.0       | 3.4        | 4          | 5.3       | 43.6       | <b>2</b>   | 2.0       | 13.6       |
| mat27.shuf | <b>1</b>   | 3.5       | 10.9       | <b>1</b>   | 4.1       | 11.3       | <b>1</b>      | 1.0       | 5.8        | <b>1</b>                 | 1.0       | 3.8        | 5          | 6.4       | 38.5       | <b>1</b>   | 1.0       | 5.8        |
| glassy-a   | <b>6</b>   | 6.1       | 1.9        | <b>6</b>   | 6.6       | 0.1        | <b>6</b>      | 6.0       | 2.8        | <b>6</b>                 | 6.0       | 3.0        | <b>6</b>   | 6.0       | 7.8        | <b>6</b>   | 6.0       | 3.8        |
| glassy-b   | <b>6</b>   | 6.1       | 8.4        | <b>6</b>   | 6.6       | 0.1        | <b>6</b>      | 6.0       | 8.6        | <b>6</b>                 | 6.0       | 5.8        | <b>6</b>   | 6.0       | 6.5        | <b>6</b>   | 6.0       | 4.3        |
| glassy-c   | <b>5</b>   | 5.2       | 16.6       | <b>5</b>   | 5.8       | 1.2        | <b>5</b>      | 5.0       | 2.0        | <b>5</b>                 | 5.0       | 6.2        | <b>5</b>   | 5.0       | 3.8        | <b>5</b>   | 5.0       | 1.2        |
| glassy-d   | <b>7</b>   | 7.4       | 14.2       | <b>7</b>   | 8.3       | 12.0       | <b>7</b>      | 7.1       | 8.9        | <b>7</b>                 | 7.0       | 14.5       | <b>7</b>   | 7.7       | 2.9        | <b>7</b>   | 7.0       | 4.9        |
| glassy-e   | <b>6</b>   | 6.1       | 2.8        | <b>6</b>   | 6.6       | 6.8        | <b>6</b>      | 6.0       | 1.0        | <b>6</b>                 | 6.0       | 3.9        | <b>6</b>   | 6.0       | 4.7        | <b>6</b>   | 6.0       | 6.8        |
| glassy-f   | <b>8</b>   | 8.3       | 14.1       | <b>8</b>   | 9.2       | 2.5        | <b>8</b>      | 8.1       | 13.7       | <b>8</b>                 | 8.0       | 9.8        | <b>8</b>   | 8.7       | 20.5       | <b>8</b>   | 8.3       | 8.9        |
| glassy-g   | <b>7</b>   | 7.2       | 3.9        | <b>7</b>   | 8.0       | 3.9        | <b>7</b>      | 7.0       | 3.2        | <b>7</b>                 | 7.0       | 12.7       | <b>7</b>   | 7.0       | 10.5       | <b>7</b>   | 7.0       | 5.9        |
| glassy-h   | <b>9</b>   | 9.0       | 9.5        | <b>9</b>   | 9.9       | 2.1        | <b>9</b>      | 9.0       | 4.0        | <b>9</b>                 | 9.0       | 6.8        | <b>9</b>   | 9.0       | 5.9        | <b>9</b>   | 9.2       | 10.6       |
| glassy-i   | <b>7</b>   | 7.1       | 15.6       | <b>7</b>   | 7.9       | 0.7        | <b>7</b>      | 7.0       | 1.8        | <b>7</b>                 | 7.0       | 7.1        | <b>7</b>   | 7.0       | 2.9        | <b>7</b>   | 7.1       | 9.6        |
| glassy-j   | <b>6</b>   | 6.2       | 8.4        | <b>6</b>   | 6.8       | 0.7        | <b>6</b>      | 6.0       | 2.8        | <b>6</b>                 | 6.0       | 11.2       | <b>6</b>   | 6.2       | 8.8        | <b>6</b>   | 6.0       | 4.2        |



**Table 5**  
Computational results on the DIMACS Satisfiable benchmark instances.

| Instance    | Iter            | AdaptG2WSAT <sub>p</sub> |                   |       | AMLS1      |                    |       | AMLS2 |                |       |
|-------------|-----------------|--------------------------|-------------------|-------|------------|--------------------|-------|-------|----------------|-------|
|             |                 | #suc                     | #steps            | time  | #suc       | #steps             | time  | #suc  | #steps         | time  |
| ais8        | 10 <sup>5</sup> | 96                       | 31,889            | 0.0   | <b>100</b> | <b>15,458</b>      | 0.0   | 99    | 20,167         | 0.0   |
| ais10       | 10 <sup>6</sup> | 91                       | 323,812           | 0.7   | <b>100</b> | <b>224,846</b>     | 0.4   | 98    | 318,071        | 0.7   |
| ais12       | 10 <sup>7</sup> | <b>71</b>                | 3,810,914         | 9.6   | 65         | <b>3,671,783</b>   | 9.3   | 47    | 4,174,483      | 11.2  |
| bw_large.a  | 10 <sup>6</sup> | 100                      | 9635              | 0.0   | 100        | 11,579             | 0.0   | 100   | <b>6846</b>    | 0.0   |
| bw_large.b  | 10 <sup>6</sup> | 100                      | <b>81,615</b>     | 0.1   | 96         | 254,110            | 0.4   | 100   | 138,123        | 0.2   |
| bw_large.c  | 10 <sup>7</sup> | <b>100</b>               | <b>1,303,511</b>  | 2.9   | 34         | 3,922,871          | 8.7   | 94    | 2,746,692      | 5.8   |
| bw_large.d  | 10 <sup>7</sup> | <b>100</b>               | <b>1,948,941</b>  | 5.9   | 18         | 4,102,525          | 12.1  | 59    | 4,049,814      | 11.6  |
| f1000       | 10 <sup>6</sup> | <b>96</b>                | 419,463           | 0.3   | 83         | <b>397,006</b>     | 0.5   | 62    | 431,452        | 0.5   |
| f2000       | 10 <sup>7</sup> | 84                       | 3,950,685         | 7.7   | <b>99</b>  | <b>2,920,372</b>   | 3.7   | 19    | 5,975,934      | 7.7   |
| f3200       | 10 <sup>8</sup> | 57                       | 43,978,432        | 38.6  | <b>100</b> | <b>13,416,486</b>  | 20.2  | 6     | 32,411,411     | 47.7  |
| flat200-1   | 10 <sup>6</sup> | 100                      | 50,662            | 0.0   | 100        | <b>35,797</b>      | 0.0   | 100   | 43,960         | 0.0   |
| flat200-2   | 10 <sup>6</sup> | 93                       | 343,990           | 0.2   | 95         | 321,643            | 0.3   | 95    | <b>248,619</b> | 0.2   |
| flat200-3   | 10 <sup>6</sup> | 99                       | 114,717           | 0.0   | 100        | 124,379            | 0.1   | 100   | <b>101,609</b> | 0.1   |
| flat200-4   | 10 <sup>6</sup> | 89                       | 361,486           | 0.2   | <b>100</b> | <b>192,106</b>     | 0.1   | 95    | 217,268        | 0.2   |
| flat200-5   | 10 <sup>7</sup> | 69                       | 3,883,019         | 5.8   | <b>100</b> | <b>1,791,504</b>   | 1.6   | 90    | 3,626,992      | 3.3   |
| logistics.a | 10 <sup>6</sup> | 100                      | <b>51,440</b>     | 0.0   | 100        | 89,405             | 0.1   | 100   | 162,293        | 0.2   |
| logistics.b | 10 <sup>6</sup> | 100                      | <b>50,710</b>     | 0.0   | 100        | 133,716            | 0.1   | 94    | 292,362        | 0.4   |
| logistics.c | 10 <sup>7</sup> | 100                      | <b>107,137</b>    | 0.1   | 100        | 705,501            | 1.0   | 100   | 1,319,925      | 1.9   |
| logistics.d | 10 <sup>7</sup> | 100                      | <b>121,331</b>    | 0.1   | 4          | 7,843,512          | 21.6  | 100   | 2,916,208      | 5.0   |
| par16-1-c   | 10 <sup>8</sup> | <b>100</b>               | <b>12,899,883</b> | 8.3   | 44         | 46,162,634         | 64.3  | 6     | 41,464,972     | 47.5  |
| par16-2-c   | 10 <sup>8</sup> | <b>59</b>                | 65,850,012        | 51.3  | 37         | <b>46,484,788</b>  | 58.9  | 5     | 72,062,553     | 114.8 |
| par16-3-c   | 10 <sup>8</sup> | <b>88</b>                | <b>43,677,124</b> | 28.2  | 35         | 47,293,115         | 57.6  | 4     | 29,702,870     | 46.5  |
| par16-4-c   | 10 <sup>8</sup> | <b>95</b>                | <b>33,141,448</b> | 21.7  | 53         | 39,980,734         | 47.6  | 5     | 68,242,170     | 104.3 |
| par16-5-c   | 10 <sup>8</sup> | <b>93</b>                | 38,197,528        | 24.8  | 62         | <b>27,056,467</b>  | 58.7  | 4     | 54,002,044     | 88.1  |
| par16-1     | 10 <sup>9</sup> | 56                       | 747,646,999       | 281.6 | <b>82</b>  | <b>321,157,528</b> | 470.1 | 4     | 523,418,603    | 791.6 |
| par16-2     | 10 <sup>9</sup> | 42                       | 507,322,537       | 574.1 | <b>76</b>  | <b>449,727,827</b> | 524.6 | 0     | –              | –     |
| par16-3     | 10 <sup>9</sup> | 47                       | 466,264,326       | 298.1 | <b>62</b>  | <b>286,739,791</b> | 446.6 | 5     | 332,755,276    | 520.4 |
| par16-4     | 10 <sup>9</sup> | 36                       | 465,727,526       | 318.5 | <b>83</b>  | <b>356,592,452</b> | 416.6 | 6     | 393,824,484    | 589.6 |
| par16-5     | 10 <sup>9</sup> | 41                       | 481,204,281       | 315.2 | <b>96</b>  | <b>343,774,441</b> | 412.2 | 4     | 312,170,271    | 1169  |

In addition, we disable the perturbation operator in our AMLS algorithm (i.e., *Maxpert*=1) and run our local search algorithm until the stop condition (i.e., the total number of iterations) is satisfied. According to the size and difficulty of these considered instances, column 2 gives the total number of iterations for each instance.

Columns 3–11 respectively give the computational results for the three algorithms: *AdaptG2WSAT<sub>p</sub>*, *AMLS1* and *AMLS2*. For each algorithm, the following three criteria are presented: the success rate over 100 independent runs (*suc*), the average number of flips for the success runs (*#steps*) and the average CPU time (in seconds) for each success run (*time*). The best results for an instance and each criterion is indicated in bold.

When comparing our results with those obtained by *AdaptG2WSAT<sub>p</sub>*, one observes that for the 29 tested instances, *AMLS1* and *AMLS2* reaches the solutions with a higher (respectively lower) success rate than *AdaptG2WSAT<sub>p</sub>* for 13 and 6 (respectively 11 and 17) instances, with equaling results for the remaining 5 and 6 instances. Globally, *AMLS1* and *AdaptG2WSAT<sub>p</sub>* perform better than *AMLS2* for this set of instances, demonstrating the advantage of breaking ties by favor of the least recently flipped variables and the first two algorithms are comparable with each other. Roughly speaking, *AMLS1* performs better than *AdaptG2WSAT<sub>p</sub>* with respect to almost all the three criteria for the three sets *ais*, *f* and *flat* of instances. However, *AdaptG2WSAT<sub>p</sub>* obtains better success rate than both *AMLS1* and *AMLS2* for the *bw\_large* and *logistics* instances. The 10 *parity* instances named *par16\** are challenging for many SAT solvers. Interestingly, *AMLS1* obtains solutions with a higher (respectively lower) success rate than *AdaptG2WSAT<sub>p</sub>* for 5 (respectively 5) instances (i.e., *AMLS1* performs better on the *par16* instances while *AdaptG2WSAT<sub>p</sub>* works better on the *par16-c* instances). On the other hand, one notices that *AMLS2* performs quite bad for this set of instances. Therefore, it is another interesting topic to investigate the different performance of the two versions of *AMLS*.

To summarize, our *AMLS* algorithm (especially *AMLS1*) is quite competitive for solving this set of challenging satisfiable instances.

### 5. Discussion and remark

Our *AMLS* algorithm shares some similar components as the previous local search algorithms. First of all, *AMLS* incorporates both the intensification of *GSAT* to select the best variable to flip [23] and the diversification of *WalkSAT* to always pick a variable from one random unsatisfied clause to flip [22]. Secondly, *AMLS* adopts the adaptive mechanism introduced in [9] to enhance its robustness and utilizes the information of the recent consecutive falsification of a clause of [15] to strategically select the second best variable to flip. In addition, the famous “random walk” strategy is also used as one of the main tools to diversify the search in our *AMLS* algorithm.

However, our *AMLS* algorithm also possesses several distinguished features. First of all, it integrates all the above components into a single solver in a systematic way such that it can achieve a better tradeoff between intensification and diversification. Secondly, we introduce a penalty function guided by clause falsification and satisfaction information to refine our variable selection rule. Thirdly, our *AMLS* algorithm employs a dynamic tabu tenure strategy. Fourthly, *AMLS* extends the Hoos’s adaptive mechanism [9] to automatically adjust the two diversification parameters *p* and *w<sub>p</sub>*. Last but not the least, an adaptive random perturbation operator is proposed to diversify the search when the search reaches a local optimum.

Although our *AMLS* algorithm has demonstrated good performance on a large set of public benchmark instances, it has several limitations. First of all, like other local search heuristic algorithms in the literature, *AMLS* has difficulty in solving some highly structured instances. Secondly, the adaptive parameter adjusting mechanism used in our algorithm uses several predefined parameters, whose tuning may require first-hand experience.



There are several directions to improve and extend this work. First, it would be instructive to get a deep understanding of the behavior of the algorithm. Second, it would be valuable to explore other search strategies like variable and clause weighting (e.g., [20,21,27]). Furthermore, it would be worthwhile to investigate the look-ahead strategy [16] and other neighborhoods based on higher-order flips [32]. Finally, it would be valuable to know whether integrating AMLS within the memetic framework would lead to improved performance.

## 6. Conclusion

In this paper, we have aimed to study various memory structures and their cooperation to reinforce the search efficiency of local search algorithms for solving the MAX-SAT and SAT problems. For this purpose, we have introduced the adaptive memory-based local search algorithm AMLS. Various memory-based strategies are employed to guide the search in order to achieve a suitable tradeoff between intensification and diversification. In addition, an adaptive mechanism is used to adjust the two diversification parameters in the AMLS algorithm according to the search history. Experimental comparisons with four leading MAX-SAT solvers (*AdaptNovelty+*, *AdaptG2WSAT<sub>p</sub>*, *IRoTS* and *RoTS*) demonstrate the competitiveness of our algorithm in terms of the considered criteria.

The study reported in this work demonstrates the importance of memory as a source of pertinent information for the design of effective intensification and diversification strategies. It also confirms the usefulness of the adaptive mechanism proposed in [9] for dynamical parameter tunings.

## Acknowledgments

We are grateful to the referees for their comments and questions which helped us to improve the paper. The work is partially supported by the regional MILES (2007–2009) and RaDaPop projects (2009–2012). The first author is also partially supported by the National Natural Science Foundation of China (Grant No. 61100144).

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